On partial-rogue wave solutions to the Sasa-Satsuma equation and generalised Okamoto polynomials

Pieter Roffelsen



ANZAMP24 meeting

Joint work with Alexander Stokes (University of Warsaw/Waseda University)

The Sasa-Satsuma equation,

$$u_t=u_{xxx}+6|u|^2u_x+3u\left(|u|^2\right)_x,$$

where $u(x, t) \in \mathbb{C}$, $(x, t) \in \mathbb{R}^2$, is an integrable extension of the nonlinear Schrödinger equation.

- Seems to have first appeared in [Kodama-Hasegawa, '87].
- Was shown to be integrable by [Sasa-Satsuma, '91].
- Has applications in **nonlinear optics** [Mihalache et al. '97], [Solli et al. '07], [Sun, '21]...
- It admits rogue waves [Bandelow-Akhmediev, '12], [Chen, '13],...
- It admits **partial-rogue wave** [Ohta-J.Zhang '13], [Zhao et al. '16], [B.Yang-J.Yang '23].

Bo Yang and Jianke Yang ('23) showed that the Sasa-Satsuma equation admits **rational solutions** on a nonzero background,

$$u_{M,N}(x,t) = R_{M,N}(x,t)u_{bg}(x,t), \qquad u_{bg}(x,t) \coloneqq e^{i[\alpha(x+6t)-\alpha^3 t]},$$

with wavenumber parameter $\alpha = \frac{1}{2}$ and $R_{M,N}$ rational in $\{x, t\}$, indexed by $M, N \in \mathbb{Z}_{\geq 0}$, with M + N free real parameters.

Some examples:

•
$$R_{0,0}(x,t) = 1$$

•
$$R_{0,1}(x,t) = 1 + 12 \frac{4 + (33t + 4x)t}{16 + 3267t^2 + 792tx + 48x^2} = 1 + \frac{1 + tx}{\frac{1}{3} + \hat{x}^2},$$

where $\hat{x} = x + \frac{33}{4}t.$
• $R_{1,0}(x,t) = 1 + \frac{3(9\sqrt{3}t + 9\hat{x}^2 + 2) - 3(9t(2\sqrt{3}\hat{x} + 1) + (\sqrt{3} - 2\hat{x})(3\hat{x}^2 - 2))t}{243t^2 - 54t\hat{x}(\sqrt{3}\hat{x} - 2) + 3\hat{x}^2(3\hat{x}^2 - 4\sqrt{3}\hat{x} + 8) + 4}$

(22)

Bo Yang and Jianke Yang ('23) showed that the Sasa-Satsuma equation admits **rational solutions** on a nonzero background,

$$u_{M,N}(x,t) = R_{M,N}(x,t)u_{bg}(x,t), \qquad u_{bg}(x,t) \coloneqq e^{i[\alpha(x+6t)-\alpha^3 t]},$$

with wavenumber parameter $\alpha = \frac{1}{2}$ and $R_{M,N}$ rational in $\{x, t\}$, indexed by $M, N \in \mathbb{Z}_{\geq 0}$, with M + N free real parameters.

Some examples:

•
$$R_{0,0}(x,t) = 1$$

•
$$R_{0,1}(x,t) = 1 + 12 \frac{4 + (33t + 4x)i}{16 + 3267t^2 + 792tx + 48x^2} = 1 + \frac{1 + i\hat{x}}{\frac{1}{3} + \hat{x}^2},$$

where $\hat{x} = x + \frac{33}{4}t.$

•
$$R_{1,0}(x,t) =$$

 $1 + \frac{3(9\sqrt{3}t + 9\hat{x}^2 + 2) - 3(9t(2\sqrt{3}\hat{x} + 1) + (\sqrt{3} - 2\hat{x})(3\hat{x}^2 - 2))i}{243t^2 - 54t\hat{x}(\sqrt{3}\hat{x} - 2) + 3\hat{x}^2(3\hat{x}^2 - 4\sqrt{3}\hat{x} + 8) + 4}$

Bo Yang and Jianke Yang ('23) showed that the Sasa-Satsuma equation admits **rational solutions** on a nonzero background,

$$u_{M,N}(x,t) = R_{M,N}(x,t)u_{bg}(x,t), \qquad u_{bg}(x,t) \coloneqq e^{i[\alpha(x+6t)-\alpha^3 t]}$$

with wavenumber parameter $\alpha = \frac{1}{2}$ and $R_{M,N}$ rational in $\{x, t\}$, indexed by $M, N \in \mathbb{Z}_{\geq 0}$, with M + N free real parameters.

Some examples:

•
$$R_{0,0}(x,t) = 1$$

•
$$R_{0,1}(x,t) = 1 + 12 \frac{4 + (33t + 4x)i}{16 + 3267t^2 + 792tx + 48x^2} = 1 + \frac{1 + i\hat{x}}{\frac{1}{3} + \hat{x}^2},$$

where $\hat{x} = x + \frac{33}{4}t.$
• $R_{1,0}(x,t) =$

$$1 + \frac{3\left(9\sqrt{3}t + 9\hat{x}^{2} + 2\right) - 3\left(9t\left(2\sqrt{3}\hat{x} + 1\right) + \left(\sqrt{3} - 2\hat{x}\right)\left(3\hat{x}^{2} - 2\right)\right)i}{243t^{2} - 54t\hat{x}\left(\sqrt{3}\hat{x} - 2\right) + 3\hat{x}^{2}\left(3\hat{x}^{2} - 4\sqrt{3}\hat{x} + 8\right) + 4}$$

$In[415]:= Style[R_{2,2}[x, t] - 1 // Together, FontSize \rightarrow 8]$

```
Out[415]= (24 (-20132659200 + 6718886400 i \sqrt{3} + 166094438400 i t = 468084326400 \sqrt{3} t = 30168789811200 t<sup>2</sup> - 17632434585600 i \sqrt{3} t<sup>2</sup> - 672911799091200 i t<sup>3</sup> - 412009522790400 \sqrt{3} t<sup>3</sup> + 358366770713600 t<sup>4</sup> -
                          976 799 504 793 600 1 /3 t<sup>3</sup> x - 22857 509 194 956 800 1 t<sup>4</sup> x - 24 529 230 308 966 400 /3 t<sup>4</sup> x - 142 419 499 433 164 800 t<sup>5</sup> x - 114 429 605 522 020 480 1 /3 t<sup>5</sup> x - 233 393 782 950 789 120 1 t<sup>6</sup> x - 662 112 911 066 112 000 /3 t<sup>6</sup> x -
                           9 119 279 434 023 475 200 t<sup>7</sup> x - 3915 926 655 744 153 600 i \sqrt{3} t<sup>7</sup> x - 38 014 708 880 453 817 600 i t<sup>8</sup> x - 12 825 219 187 200 182 400 \sqrt{3} t<sup>8</sup> x - 18 947 024 392 253 292 720 t<sup>9</sup> x - 43 334 824 908 688 988 400 i \sqrt{3} t<sup>9</sup> x -
                           49126 927 531 342 466 124 i t<sup>10</sup> x - 29132 659 289 i \sqrt{3} x<sup>2</sup> - 11 279 322 316 889 i t x<sup>2</sup> - 1841 865 113 669 \sqrt{3} t x<sup>2</sup> + 182 125 458 374 469 t<sup>2</sup> x<sup>2</sup> - 156 965 626 265 669 i \sqrt{3} t<sup>2</sup> x<sup>2</sup> - 4 568 747 883 443 208 i t<sup>3</sup> x<sup>2</sup> +
                           17746 921 193 472 080 i t<sup>4</sup> x<sup>3</sup> - 38 280 861 912 268 889 \sqrt{3} t<sup>4</sup> x<sup>3</sup> - 781 518 512 354 781 364 t<sup>5</sup> x<sup>3</sup> + 93 922 697 517 383 688 i \sqrt{3} t<sup>5</sup> x<sup>3</sup> + 4 369 626 518 334 525 449 i t<sup>6</sup> x<sup>3</sup> - 1611 467 894 684 388 489 \sqrt{3} t<sup>6</sup> x<sup>3</sup> -
                           1 JAD 522 2016 898 652 168 ± 7 x<sup>3</sup> ± 7 861 854 354 652 628 880 ± \sqrt{1} ± 7 x<sup>3</sup> ± 188 26 871 881 287 595 848 ± ± <sup>6</sup> x<sup>3</sup> = 115 762 799 489 ± \sqrt{1} x<sup>4</sup> = 18 512 741 939 200 ± ± x<sup>4</sup> ± 9121 982 854 4890 \sqrt{3} ± x<sup>4</sup> ± 1224 39 676 723 200 ± <sup>2</sup> x<sup>4</sup> =
                           265 278 0489 985 6600 1 \sqrt{3} +<sup>2</sup> y<sup>4</sup> = 1862 241 178 227 760 1 +<sup>3</sup> y<sup>4</sup> = 4122 693 166 694 400 \sqrt{3} +<sup>3</sup> y<sup>4</sup> = 107 582 403 923 326 600 +<sup>4</sup> y<sup>4</sup> = 17 742 433 457 766 400 1 \sqrt{3} +<sup>4</sup> y<sup>4</sup> = 77 1493 763 767 768 000 1 +<sup>5</sup> y<sup>4</sup> =
                           9 040 444 784 640 1 \sqrt{3} t x<sup>5</sup> - 104 326 936 657 920 1 t<sup>2</sup> x<sup>5</sup> - 266 955 569 233 920 \sqrt{3} t<sup>2</sup> x<sup>5</sup> - 9 448 056 663 279 360 t<sup>3</sup> x<sup>5</sup> + 3 111 754 601 594 880 1 \sqrt{3} t<sup>3</sup> x<sup>5</sup> + 79 551 003 873 116 160 1 t<sup>4</sup> x<sup>5</sup> - 32 269 453 363 445 760 \sqrt{3} t<sup>4</sup> x<sup>5</sup> -
                           103 068 453 766 560 984 t<sup>5</sup> x<sup>5</sup> - 200 039 062 505 103 360 i \sqrt{3} t<sup>5</sup> x<sup>5</sup> - 445 402 960 919 820 288 i t<sup>6</sup> x<sup>5</sup> - 56 623 104 000 x<sup>6</sup> - 110 981 283 840 i \sqrt{3} x<sup>6</sup> - 2 697 524 674 560 i t x<sup>6</sup> - 9 631 589 990 400 \sqrt{3} t x<sup>6</sup> -
                           516 952 668 377 668 + 2 x 6 + 168 813 154 364 888 i \sqrt{3} + 2 x 6 + 5 887 246 780 836 888 i + 3 x 6 - 2 476 522 576 281 688 \sqrt{3} + 3 x 6 - 18 418 954 925 916 168 t<sup>4</sup> x 6 + 19 384 584 538 739 288 i \sqrt{3} t<sup>4</sup> x 6 + 53 8739 281 237 687 250 944 i + 5 x 6 -
                           18110 305 786 1 y<sup>7</sup> = 140 484 094 566 1 x<sup>7</sup> = 16 113 887 036 378 + y<sup>7</sup> = 4 788 127 4 30 688 + 1 x<sup>7</sup> = 271 335 640 443 848 + 1 + 2 y<sup>7</sup> = 171 830 613 378 568 1 x<sup>7</sup> = 271 136 518 30 87 7 70 2000 + 1 x<sup>3</sup> + 2 y<sup>7</sup> = 177 4588 737 70 2000 + 1 x<sup>3</sup> + 2 y<sup>7</sup> = 177 4588 73 7 + 177 4588 737 70 2000 + 1 x<sup>3</sup> + 2 y<sup>7</sup> = 177 4588 737 70 2000 + 1 x<sup>3</sup> + 2 y<sup>7</sup> = 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 458 73 7 + 177 4588 73 7 + 177 4588 73 7 + 177 4588 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 458 7 + 178 7 + 178 7 + 178 7 + 178 7 + 178 7 + 178 7 + 178 7 + 178 7 + 178 7 + 1
                          4 674 306 203 268 486 i +<sup>4</sup> y<sup>7</sup> - 210131 412 488 y<sup>8</sup> + 62 851 645 446 i - 15 y<sup>8</sup> + 7 81 286 655 526 i + y<sup>8</sup> - 3 485 718 282 248 - 57 7 7 504 686 686 +<sup>2</sup> y<sup>8</sup> + 45102 154 342 408 i - 15 + <sup>2</sup> y<sup>8</sup> + 283 201 208 561 120 i + <sup>3</sup> y<sup>8</sup> +
                           88 332 042 240 i x<sup>9</sup> - 44166 021 120 \sqrt{3} x<sup>9</sup> - 882 895 749 120 t x<sup>9</sup> + 1407 701 923 200 i \sqrt{3} t x<sup>9</sup> + 1146 112 747 520 i t<sup>2</sup> x<sup>9</sup> - 10 701 766 655 x<sup>10</sup> + 16137 584 640 i \sqrt{3} x<sup>10</sup> + 277 481 521 152 i t x<sup>10</sup> + 3 057 647 616 i x<sup>11</sup>) /
                      187 374 182 488 + 1 883 982 186 699 688 t<sup>2</sup> + 9 481 383 988 777 688 \sqrt{3} t<sup>3</sup> + 39 782 365 161 662 498 t<sup>4</sup> - 351 238 319 654 628 886 \sqrt{3} t<sup>5</sup> - 259 111 772 965 144 328 t<sup>6</sup> + 17079 443 474 836 205 648 \sqrt{3} t<sup>7</sup> -
                       226 555 552 655 359 622 256 752 t<sup>10</sup> + 1 518 468 669 158 585 316 568 √3 t<sup>11</sup> +
                       1 215 891 456 400 726 036 569 t<sup>12</sup> - 75 376 676 044 800 t x - 1 759 574 281 420 800 \sqrt{3} t<sup>2</sup> x - 14 105 539 982 131 200 t<sup>3</sup> x - 142 156 688 051 404 800 \sqrt{3} t<sup>4</sup> x -
                       234 308 824 848 138 248 t<sup>5</sup> x + 12 185 663 545 994 307 849 \sqrt{3} t<sup>6</sup> x + 189 738 398 929 336 922 469 t<sup>7</sup> x + 525 496 614 966 689 934 248 \sqrt{3} t<sup>8</sup> x +
                       2 567 065 567 883 347 275 568 + 9 x 1 959 567 565 713 856 847 048 (3 + 10 x 1 758 560 301 178 378 788 464 + 11 x 1 937 735 783 308 y<sup>2</sup> + 98 565 665 488 808 (3 + y<sup>2</sup> +
                       2135 676 632 664 266 + 2 y<sup>2</sup> - 10116 501 4431 74466 (1 + 3 y<sup>2</sup> - 33737 750 890 587 266 + 4 y<sup>2</sup> - 3620 613 672 870 452 166 (1 + 5 y<sup>2</sup> - 60 836 638 187 053 806 336 + 6 y<sup>2</sup> -
                       1610612736 000 \sqrt{3} x<sup>3</sup> + 132633958 809 600 t x<sup>3</sup> - 925 991 593 574 400 \sqrt{3} t<sup>2</sup> x<sup>3</sup> + 4611 544 134 451 200 t<sup>2</sup> x<sup>3</sup> - 620 798 285 000 166 400 \sqrt{3} t<sup>4</sup> x<sup>3</sup> + 14780 266 821 868 584 960 t<sup>5</sup> x<sup>3</sup> -
                       58 659 518 791 318 619 168 \sqrt{3} + 184 768 848 224 181 662 728 \frac{1}{7} x<sup>3</sup> + 481 248 752 118 488 151 688 \sqrt{3} + 8 x<sup>3</sup> + 476 182 127 576 654 216 968 \frac{1}{7} x<sup>3</sup> + 362 1878 656 688 2
                       3 888 296 857 688 \sqrt{3} + \chi^4 _ 1 478 866 857 574 488 + ^2 \chi^4 _ 66 182 324 881 177 688 \sqrt{3} + ^3 \chi^4 _ 1 978 725 389 698 478 488 7 48 4 96 12 443 484 255 887 368 \sqrt{3} + ^5 \chi^4 _
                       75 994 871 842 887 444 488 +<sup>6</sup> v<sup>4</sup> + 94 286 688 818 891 877 288 \sqrt{3} +<sup>7</sup> v<sup>4</sup> + 129 922 452 975 451 158 888 +<sup>8</sup> v<sup>4</sup> + 1 287 959 552 888 \sqrt{3} v<sup>5</sup> + 124 267 7991 14 248 + v<sup>5</sup> +
                       4 399 710 307 614 720 \sqrt{3} t<sup>2</sup> x<sup>5</sup> + 169 782 457 820 774 400 t<sup>3</sup> x<sup>5</sup> + 1049 899 516 160 901 120 \sqrt{3} t<sup>6</sup> x<sup>5</sup> + 10 272 286 414 591 229 952 t<sup>5</sup> x<sup>5</sup> + 15 411 187 848 906 178 560 \sqrt{3} t<sup>6</sup> x<sup>5</sup> +
                       25 197 081 789 178 404 864 t<sup>7</sup> x<sup>5</sup> + 3 551 401 082 889 x<sup>6</sup> + 168 129 850 245 120 \sqrt{3} t x<sup>6</sup> + 9 241 128 389 836 800 t<sup>2</sup> x<sup>6</sup> - 7 x 4 x 8 778 381 408 730 \sqrt{3} + 3 x<sup>6</sup>
                       962 347 537 583 368 860 t<sup>4</sup> x<sup>6</sup> + 1 796 633 364 358 103 040 \sqrt{3} t<sup>5</sup> x<sup>6</sup> + 3 563 223 687 358 562 304 t<sup>6</sup> x<sup>6</sup> + 2 826 625 351 680 \sqrt{3} x<sup>7</sup> + 200 761 983 964 160 t x<sup>7</sup> +
                       3 5 5 3 3 18 3 04 142 7 20 \sqrt{1} + 6^{2} \sqrt{7} + 61 607 7 6 3 07 2 18 880 + 3^{3} \sqrt{7} + 140 371 771 3 5 5 1 3 6 000 \sqrt{3} + 4^{4} \sqrt{7} + 3 70 205 6 5 8 2 7 6 8 5 3 6 16 + 5^{4} \sqrt{7} + 4 6 49 6 84 3 9 8 88 9 3 5 5 5 1 2 80 \sqrt{3} + \sqrt{8} +
                       2 500 672 510 122 160 4<sup>2</sup> v<sup>8</sup> . 8 678 234 574 678 678 578 578 578 578 580 18 + 3 v<sup>8</sup> . 28 045 817 750 610 880 + 4 v<sup>8</sup> . 1105 870 055 480 18 v<sup>9</sup> . 64 112 065 840 640 + v<sup>9</sup> . 115 100 184 665 600 18 + 2 v<sup>9</sup> .
```

Yang and Yang ('23)'s main motivation is the construction of **partial-rogue waves**, which they describe as

localised waves that 'come from nowhere but leave with a trace'.

With regards to the rational solutions $u_{M,N}(x,t) = R_{M,N}(x,t)u_{bg}(x,t)$, this means

$$\begin{split} &\lim_{t \to -\infty} R_{M,N}(x,t) = 1 \text{ and,} \\ &\lim_{x \to \pm \infty} R_{M,N}(x,t) = 1, \text{ for bounded } t. \end{split}$$

Theorem (Yang and Yang ('23))

The rational solution $u_{M,N}(x,t)$ is a partial-rogue wave iff the following polynomial has no real roots,

$$Q_{M,N}^{[YY]} := \mathcal{W}[p_2, p_5, \dots, p_{3M-1}, p_1, p_4, \dots, p_{3N-2}].$$

Here entries $p_i(z)$ of Wronskian are Schur polynomials generated by

$$\exp(z\epsilon + \epsilon^2) = \sum_{j=0}^{\infty} p_j(z)\epsilon^j.$$

Theorem (Yang and Yang ('23), continued)

Furthermore, in such case, the solution asymptotically splits, as $t \to +\infty$, into $\rho_{M,N}$ solitons, where $\rho_{M,N}$ the number of imaginary roots of $Q_{M,N}^{[YY]}$.

Problem

Determine the number of real and the number of imaginary roots of $Q_{M,N}^{[YY]}$, for $M, N \in \mathbb{Z}_{\geq 0}$.

Example (M, N) = (3, 0). The polynomial

 $Q_{3,0}^{[YY]} = z^{12} + 28z^{10} + 260z^8 + 1120z^6 + 2800z^4 + 11200z^2 + 11200,$

has no real roots and exactly $\rho_{3,0} = 4$ (purely) imaginary roots.

Numerical display of solution with (M, N) = (3, 0)



Generalised Okamoto polynomials

Noumi and Yamada (99'), based on previous work by Okamoto (86'), introduced the **generalised Okamoto polynomials** $Q_{m,n}$, $m, n \in \mathbb{Z}$, defined by recursive formulas

$$Q_{m,n-1}Q_{m,n+1} = \frac{9}{2} \left(Q_{m,n}'' Q_{m,n} - (Q_{m,n}')^2 \right) + \left(2t^2 + 3(m+2n+1) \right) Q_{m,n}^2,$$

$$Q_{m+1,n}Q_{m-1,n} = \frac{9}{2} \left(Q_{m,n}'' Q_{m,n} - (Q_{m,n}')^2 \right) + \left(2t^2 + 3(-2m+n-1) \right) Q_{m,n}^2,$$

with initial conditions $Q_{0,0} = Q_{-1,0} = Q_{0,-1} = 1$, $Q_{-1,-1} = \sqrt{2}t$.

The polynomials Q^[YY], M, N ∈ Z_{≥0}, form a subset of the generalised Okamoto polynomials,

$$Q_{M,N}^{[YY]}(z) = \operatorname{scalar} \times Q_{m,n}(t), \quad \frac{\sqrt{3}}{2}z = t, \quad (m,n) = (M-N, -M-1).$$

• Symmetry:
$$Q_{m,n}(it) = i^{\deg Q_{m,n}} Q_{n,m}(t)$$

Problem

Determine the number of real roots of $Q_{m,n}$, $m, n \in \mathbb{Z}$.

Zero distributions of generalised Okamoto polynomials



- Clarkson studied the locations of these zeroes numerically and observed beautiful patterns [Clarkson, 2003].
- Distributions have been studied in various large-parameter limits [Buckingham-Miller, 2022], [Masoero-R, 2024]
- Note that real roots seem to interlace.

Figure: Roots of $Q_{7,7}$ (red) and $Q_{7,8}$ (black)

Painlevé IV

The generalised Okamoto polynomials define rational solutions of the **fourth Painlevé equation**,

$$q'' = \frac{(q')^2}{2q} + \frac{3}{2}q^3 + 4tq^2 + 2(t^2 + a_2 - a_0)q - \frac{2a_1^2}{q}, \qquad ' = \frac{d}{dt},$$

where $(a_0, a_1, a_2) \in \mathbb{C}^3$ with $a_0 + a_1 + a_2 = 1$.

For $(m, n) \in \mathbb{Z}^2$ [Noumi-Yamada '99],

$$q_{m,n} = -\frac{2}{3}t + \frac{Q'_{m-1,n}}{Q_{m-1,n}} - \frac{Q'_{m,n}}{Q_{m,n}},$$

is a rational solution of Painlevé IV with parameter values

$$a_0 = \frac{1}{3} - m - n$$
, $a_1 = \frac{1}{3} + n$, $a_2 = \frac{1}{3} + m$.

The fourth Painlevé equation is one of the six integrable second order nonlinear ODEs found around 1900 by Painlevé, Fuchs, Gambier and Picard without movable branch-points.

Apparent singularities

Zeros of generalised Okamoto polynomials correspond to poles of rational solutions

$$q_{m,n} = -\frac{2}{3}t + \frac{Q'_{m-1,n}}{Q_{m-1,n}} - \frac{Q'_{m,n}}{Q_{m,n}}.$$

- Any solution q of PIV is a meromorphic function $q : \mathbb{C} \to \mathbb{CP}^1$.
- Points $t_0 \in \mathbb{C}$, where $q(t_0) \in \{0, \infty\}$, are singularities.
- All these singularities are apparent as they can be resolved through a finite number of blow-ups - this is part of the construction of the space of initial conditions [Okamoto, 1979].
- Apparent singularities come in four types:

•
$$p_+$$
 (plus pole) $q(t) = \frac{+1}{t - t_0} + O(1)$
• p_- (minus pole) $q(t) = \frac{-1}{t - t_0} + O(1)$
• z_+ (plus zero) $q(t) = +2a_1(t - t_0) + O((t - t_0)^2)$
• z_- (minus zero) $q(t) = -2a_1(t - t_0) + O((t - t_0)^2)$

Singularity signatures of an Okamoto rational

To any real solution, we associate a **singularity signature**, which is a possibly infinite string of symbols from $\{p_+, p_-, z_+, z_-\}$.

Example:

$$\mathfrak{S}(q_{3,3}) = (p_- z_+ z_- p_+)^1 (p_- z_+)^2 p_- (z_+ p_-)^2 (p_+ z_- z_+ p_-)^1$$



Space of initial conditions for Painlevé IV



Figure: Okamoto's space of initial conditions $X_{t,a}$

By setting

$$f=q, \quad g=t+\frac{1}{2}q+\frac{a_1}{q}+\frac{q'}{2q},$$

Painlevé IV becomes a system of first order ODEs

$$f' = -2a_1 - f(2t + f - 2g),$$

$$g' = +2a_2 + g(2t + 2f - g),$$

Method of attack

- Rational solutions parametrise **real paths** in the space of initial conditions.
- Apparent singularities correspond to paths **crossing** certain **exceptional curves**.
- The reality of the paths means that the order in which different exceptional curves can be crossed is greatly restricted by the geometry of Okamoto's space.
- The hierarchy of rational solutions (q_{m,n})_{m,n∈ℤ} is generated by Bäcklund transformations T₁, T₂ that act as isomorphisms between different Okamoto's spaces,

$$T_1: q_{m,n} \mapsto q_{m,n+1}, \quad T_2: q_{m,n} \mapsto q_{m+1,n},$$

starting from the trivial solution $q_{0,0} = -\frac{2}{3}t$.

• By keeping track of how T_1 and T_2 act on exceptional curves plus geometric arguments and '**playing maze games**', we can inductively determine the singularity signatures of all these rational solutions.

Different regions in $\{(m, n) \in \mathbb{Z}^2\}$



Different regions are separated by lines $a_k = 0$, $0 \le k \le 2$, where some of the relevant exceptional curves undergo topological changes.

Maze game in region I for T_1 direction



Figure: Relevant exceptional curves in (f,g) plane and path crossings

Theorem (Region I)

For $(m, n) \in \mathbb{Z}^2$ with $m \ge 0$, $n \ge 0$, the singularity signature of the generalised Okamoto rational $q_{m,n}(t)$ is as follows:

• $m = 2\mu$ even, $n = 2\nu$ even

$$\mathfrak{S}(q_{m,n}) = (p_{-} z_{+} z_{-} p_{+})^{\mu} (z_{-} p_{+})^{\nu} \hat{z}_{-} (p_{+} z_{-})^{\nu} (p_{+} z_{-} z_{+}, p_{-})^{\mu}.$$

•
$$m = 2\mu$$
 even, $n = 2\nu + 1$ odd

$$\mathfrak{S}(q_{m,n}) = (p_{-} z_{+} z_{-} p_{+})^{\mu} (z_{-} p_{+})^{\nu} z_{-} \hat{p}_{+} z_{-} (p_{+} z_{-})^{\nu} (p_{+} z_{-} z_{+} p_{-})^{\mu}.$$

•
$$m = 2\mu + 1$$
 odd, $n = 2\nu$ even

$$\mathfrak{S}(q_{m,n}) = (p_- z_+ z_- p_+)^{\mu} (p_- z_+)^{\nu} p_- \hat{z}_+ p_- (z_+ p_-)^{\nu} (p_+ z_- z_+ p_-)^{\mu}.$$

• $m = 2\mu + 1$ odd, $n = 2\nu + 1$ odd

$$\mathfrak{S}(q_{m,n}) = (p_- z_+ z_- p_+)^{\mu} (p_- z_+)^{\nu+1} \hat{p}_- (z_+ p_-)^{\nu+1} (p_+ z_- z_+ p_-)^{\mu}.$$

region	<i>m</i> , <i>n</i> even	<i>m</i> even, <i>n</i> odd	<i>m</i> odd, <i>n</i> even	<i>m</i> odd, <i>n</i> odd
Ι	<i>m</i>	<i>m</i>	m + n + 1	m + n + 1
II	<i>m</i>	m	<i>n</i>	<i>n</i>
III	n	m	<i>n</i>	m
IV	n	m + n + 1	<i>n</i>	m + n + 1
V	n	m + n + 1	m + n + 1	<i>n</i>
VI	<i>m</i>	m + n + 1	m + n + 1	m

Table: Number of real roots of $Q_{m,n}(t)$ dependent on the parity of *m* and *n* as well as the region where the indices $(m, n) \in \mathbb{Z}^2$ lie.

Remark

[Hussin, Marquette, Zelaya, '22] derived entries in row IV from a conjecture/theorem? by [García-Ferrero, Gómez-Ullate '15].

Corollary (Interlacing of real roots)

- Let $(m, n) \in \mathbb{Z}^2$ be in region I or region II. Then the real roots of $Q_{m,n}$ and $Q_{m,n-1}$ are interlaced.
- Let $(m, n) \in \mathbb{Z}^2$ be in region III or region IV. Then the real roots of $Q_{m,n}$ and $Q_{m-1,n}$ are interlaced.
- So Let $(m, n) \in \mathbb{Z}^2$ be in region V or region VI. Then the real roots of $Q_{m,n}$ and $Q_{m-1,n+1}$ are interlaced.

Results: real root free polynomials

Corollary

The polynomial $Q_{m,n}$ has no real roots if and only if

() m = 0 and $n \ge 0$, in which case the number of imaginary roots is

$$\rho_{im}(Q_{0,n}) = \begin{cases} n & \text{if } n \text{ is even,} \\ n+1 & \text{if } n \text{ is odd,} \end{cases}$$

2 n = 0 and $m \le 0$, in which case the number of imaginary roots is

$$\rho_{im}(Q_{m,0}) \begin{cases} -m & \text{if } m \text{ is even,} \\ -m+1 & \text{if } m \text{ is odd,} \end{cases}$$

or

◎ $m \ge 0$, n = -1 - m, in which case the number of imaginary roots is

$$\rho_{im}(Q_{m,-1-m}) = \begin{cases} m & \text{if } m \text{ is even,} \\ m+1 & \text{if } m \text{ is odd.} \end{cases}$$

Partial-Rogue waves

Combining the previous results with the theorems in Yang and Yang ('23) yields the following corollary.

Corollary

For $M, N \in \mathbb{Z}_{\geq 0}$, the rational solution $u_{M,N}(x, t)$ is a partial-rogue wave if and only if N = 0 (and M > 0), in which case, as $t \to +\infty$, it asymptotically splits into M (resp. M + 1) fundamental rational solitons if M is even (resp. odd).

The Sasa-Satsuma equation is invariant under

$$u\mapsto \hat{u}, \quad \hat{u}(x,t)=\overline{u(-x,-t)},$$

and correspondingly we have the following corollary.

Corollary

For $M, N \in \mathbb{Z}_{\geq 0}$, the rational solution $\widehat{u}_{M,N}(x,t)$ is a partial-rogue wave if and only if M = N, in which case, as $t \to +\infty$, it asymptotically splits into M (resp. M + 1) fundamental rational solitons if M is even (resp. odd).

Thanks for your time!

